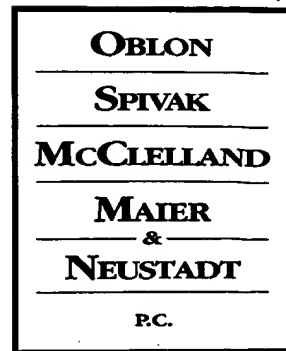


Docket No.: 214010US0

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231



ATTORNEYS AT LAW

RE: Application Serial No.: 09/955,074
Applicants: Atsushi HOZUMI, et al
Filing Date: September 19, 2001
For: FABRICATION OF HOLLOW CERAMICS FIBERS
USING UNIDIRECTIONALLY ORIENTED
ORGANIC FIBERS PREARRANGED BASED ON
ELECTROSTATIC MEANS

SIR:

Attached hereto for filing are the following papers:

**Petition Under 37 U.S.C. §1.182, Notice of Omitted Items in a Nonprovisional Application,
Copy of date-stamped filing receipt (filed September 19, 2001), Copy of Three (3) sheets
Drawings FIGS. 1-3 (filed September 19, 2001)**

Our check in the amount of \$130.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

01/08/2002 SSALEEKU 00000011 09955074

01 FC:122 130.00 DP

Adjustment date: 02/15/2002 AKELLEY
01/08/2002 SSALEEKU 00000011 09955074
01 FC:122 -130.00 DP

Repln. Ref: 02/15/2002 AKELLEY 0011035700
DA: 150030 Name/Number: 09955074
FC: 704 \$130.00 CR



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(703) 413-3000 (phone)
(703) 413-2220 (fax)

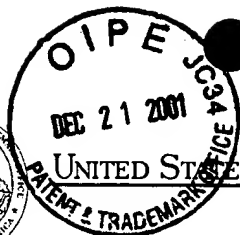
Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon
Attorney of Record
Registration No. 24,618

Thomas A. Blinka, Ph.D.
Registration No. 44,541

3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,074	09/19/2001	Atsushi Hozumi	214010US0

CONFIRMATION NO. 9783

22850
OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT PC
FOURTH FLOOR
1755 JEFFERSON DAVIS HIGHWAY
ARLINGTON, VA 22202

FORMALITIES LETTER



OC000000006926383

Date Mailed: 10/25/2001

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 3 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

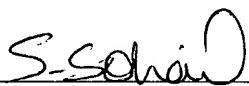
12/25/2001 SPELEKE1 00000005 09955074

01 EC:105

130.00 00

Adjustment date: 01/08/2002 03ALEKH
12/26/2001 SPELEKE1 00000005 09955074
01 EC:105 -130.00 00

A copy of this notice MUST be returned with the reply.



Customer Service Center

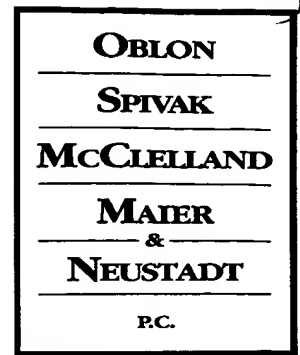
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No.: 214010US0

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231



ATTORNEYS AT LAW

RE: Application Serial No.: 09/955,074
Applicants: Atsushi HOZUMI, et al
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Drawings FIGS. 1-3 (filed September 19, 2001)**

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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon
Attorney of Record
Registration No. 24,618



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(703) 413-3000 (phone)
(703) 413-2220 (fax)

Thomas A. Blinka, Ph.D.
Registration No. 44,541



gm
F

Dept.: PP/JF

By: NFO/tsh

OSMM&N File No. 214010US0

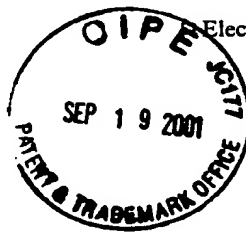
Serial No. NEW APPLICATION

In the matter of the Application of: Atsushi HOZUMI, et al.

For: FABRICATION OF HOLLOW CERAMICS FIBERS USING UNIDIRECTIONALLY ORIENTED ORGANIC FIBERS PREARRANGED BASED ON ELECTROSTATIC MEANS

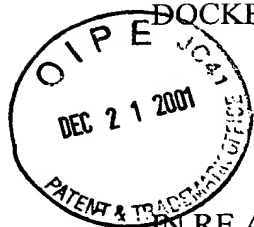
The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ 19 pp. Specification 6 Claims/ Drawings 3 Sheets
and 2 pages Application Data Sheet
- ☒ Combined Declaration, Petition & Power of Attorney 3 pages
- ☐ List of Inventor Names and Addresses
- ☒ Utility Patent Application Transmittal ☐ CPA
- ☒ Notice of Priority ☒ Priority Doc (1)
- ☒ Check for \$710.00 ☒ Dep. Acct. Order Form
- ☒ Fee Transmittal Form
- ☐ Assignment/PTO 1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Formal Drawings sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement ☒ PTO-1449
- ☒ Cited References (3)
- ☐ Search Report
- ☐ Statement of Relevancy ☐ Cited Pending Applications
- ☐ IDS/Related/List of Related Cases
- ☐ Restriction Response ☐ Election Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☒ White Advance Serial Number Card
- ☐ Small Entity Status is Claimed
- ☐
- ☐



Due Date: 09/19/01

#5



DOCKET NO: 214010US0

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:
Atsushi HOZUMI, ET AL.

: GROUP: 1732

:

SERIAL NO: 09/955,074

:

FILED: September 19, 2001

:

:

FOR: FABRICATION OF HOLLOW CERAMICS FIBERS USING
UNIDIRECTIONALLY ORIENTED ORGANIC FIBERS
PREARRANGED BASED ON ELECTROSTATIC MEANS

LETTER TO OFFICIAL DRAFTSMAN

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

It is requested that the enclosed THREE (3) sheets of Formal Drawings for FIGS. 1 - 3
be entered to replace the Informal Drawings originally filed with the application.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon
Registration No. 24,618
Attorney of Record



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Tele #: (703) 413-3000
Fax #: (703) 413-2220

Thomas A. Blinka
Registration No. 44,541



Docket No.: 214010US0

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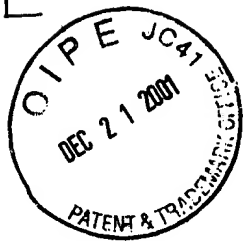
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